|  | Application No.  | Applicant(s)  |
|--|--|---|
| Notice of Allowability   |  |   |
|  | 10/036,606<br>Examiner   | OSBURN, DOUGLAS C.  Art Unit  |
| , , , , , , , , , , , , , , , , , , ,  |  |   |
|  | Bharat N. Barot  | 2155  |
| The MAILING DATE of this communication apper<br>All claims being allowable, PROSECUTION ON THE MERITS IS<br>herewith (or previously mailed), a Notice of Allowance (PTOL-85)<br>NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RI-<br>of the Office or upon petition by the applicant. See 37 CFR 1.313 | (OR REMAINS) CLOSED in this a<br>or other appropriate communicati<br>GHTS. This application is subject | application. If not included on will be mailed in due course. <b>THIS</b> |
| 1. This communication is responsive to <u>application filed on 12</u>  | <u>//21/2001</u> .   |   |
| 2. The allowed claim(s) is/are <u>1-34</u> .   |  |   |
| 3. The drawings filed on are accepted by the Examiner.   |  |   |
| <ul> <li>4. ☐ Acknowledgment is made of a claim for foreign priority un</li> <li>a) ☐ All b) ☐ Some* c) ☐ None of the:</li> <li>1. ☐ Certified copies of the priority documents have</li> </ul>  |  |   |
| 2. Certified copies of the priority documents have been received in Application No   |  |   |
| 3. Copies of the certified copies of the priority documents have been received in this national stage application from the   |  |   |
| International Bureau (PCT Rule 17.2(a)).   |  |   |
| * Certified copies not received:   |  |   |
| Applicant has THREE MONTHS FROM THE "MAILING DATE" on noted below. Failure to timely comply will result in ABANDONM THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.  5. A SUBSTITUTE OATH OR DECLARATION must be submit INFORMAL PATENT APPLICATION (PTO-152) which give                                      | ENT of this application.  itted. Note the attached EXAMINE as reason(s) why the oath or decla          | R'S AMENDMENT or NOTICE OF  |
| 6. X CORRECTED DRAWINGS ( as "replacement sheets") mus   |  |   |
| (a) ☐ including changes required by the Notice of Draftspers   |  | O-948) attached   |
| 1) ☐ hereto or 2) ☐ to Paper No./Mail Date   |  |   |
| <ul><li>(b) ☐ including changes required by the attached Examiner's<br/>Paper No./Mail Date hereto.</li></ul>  | s Amendment / Comment e <del>r in the</del>  | Office action of  |
| ldentifying indicia such as the application number (see 37 CFR 1. each sheet. Replacement sheet(s) should be labeled as such in the  | 84(c)) should be written on the drav<br>ne header according to 37 CFR 1.12                             | vings in the front (not the back) of 1(d).                                |
| 7. DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.  |  |   |
|  |  |   |
| Attachment(s)  | _ —  |   |
| 1. Notice of References Cited (PTO-892)  |  | Patent Application (PTO-152)  |
| 2. Notice of Draftperson's Patent Drawing Review (PTO-948)   | 6. ☐ Interview Summa<br>Paper No./Mail D   | ry (PTO-413),<br>Pate   |
| <ol> <li>Information Disclosure Statements (PTO-1449 or PTO/SB/0 Paper No./Mail Date</li> </ol>  |  |   |
| 4. Examiner's Comment Regarding Requirement for Deposit  |  | nent of Reasons for Allowance   |
| of Biological Material   | 9.   | Shorat Barot.   |
|  |  | Blusat Barst. BHARAT BAROT. PRIMARY EXAMINER                              |
|  |  | (571)272-3979   |

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## **EXAMINER'S AMENDMENT**

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1. An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

2. The application has been amended as follows:

### **Drawings**

The following changes to the drawings have been approved by the examiner and agreed upon by applicant: This application has been filed with informal drawings, which are acceptable for examination purposes only. Formal drawings are required now with proper reference numbers, reference names, and labels. In order to avoid abandonment of the application, applicant must make these above agreed upon drawing changes.

#### Title

New title —An Enterprise Server For Communication For A Supervisory Control

And Data Acquisition (SCADA) System—has been replaced with old title "Enterprise server for scada system".

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# **Specification**

- (A) Page 1 paragraph [0001] line 3
  - --,still pending-- has been inserted after "April 5, 2001".
- (B) Page 7 paragraph [00022] line 3
  - --server 12 or 16-- has been replaced with "server 12 or 14".
- (B) Page 7 paragraph [00023] line 2
  - --server 16 and/or 12-- has been replaced with "server 16 and/or 14".

### REASONS FOR ALLOWANCE

3. The following is an Examiner's Statement of Reasons for Allowance: Claims 1-34 are allowable over the prior art of record.

The examiner has found that the prior art of record does not teach or suggest or render obvious an enterprise server for communication for a SCADA system and associate method of operations for communicating with a specific device. The major difference in the independent claims that combination of the enterprise server's components not found in the prior art of record that **interface** to receive a request for status data on a specific device from a client application; **protocol interface** to build a message for the specific device (converting the request into a message); **connection interface** to transmit the message to the specific device, receive the status data from the specific device, and transmit the status data to the interface using the protocol interface; **memory (cache)** to store the status data from the interface and make

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available to the client application and also store server software to control all interfaces

at set forth in the specification and recited in the independent claims.

4. Any comments considered necessary by applicant must be submitted no later

than the payment of the issue fee and, to avoid processing delays, should preferably

accompany the issue fee. Such submissions should be clearly labeled "Comments on

Statement of Reasons for Allowance or Examiner Amendment."

**CONTACT INFORMATION** 

5. Any inquiry concerning this communication or earlier communications from the

examiner should be directed to Bharat Barot whose telephone number is (571)

272-3979. The examiner can normally be reached on Monday-Friday from 9:30 AM to

6:00 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's

supervisor, Ario Etienne, can be reached at (571) 272-4001.

Any inquiry of general nature or relating to the status of this application should be

directed to the group receptionist whose telephone number is (703) 305-3900.

Patent Examiner Bharat Barot

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May 25, 2005

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BHARAT BAROT